

IFW

PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/591,015
Filing Date	August 29, 2006
First Named Inventor	Kazuyuki Kawabe
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	442P103

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> -Form PTO-1449 -Copy of non US references cited - 11 -Copy of the Int. Search Report dated 6/14/05
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Nields & Lemack		
Signature			
Printed name	Kevin S. Lemack		
Date	October 26, 2006	Reg. No.	32,579

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	Kevin S. Lemack	Date	October 26, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MJ/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kazuyuki Kawabe et al.

Serial No. : 10/591,015

Filed : August 29, 2006

For : WATER-BASED ADHESIVE FOR POLARIZING ELEMENT AND POLARIZER OBTAINED WITH THE SAME

Examiner : Not yet assigned

Art Unit : Not yet assigned

Confirmation No: Not yet assigned

Attorney
Docket No. : 442P103

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.

The relevance of the references cited are shown in the International Search Report filed herein on August 29, 2004, dated June 14, 2005, which indicates the degree of relevance found by the Japanese Patent Office.

Japanese Patent Application No. 03-7230 is relevant for the reasons given on page 2 last paragraph and page 3 first paragraph in Applicant's specification.

Copies of the non U.S. Patents listed on the attached form are enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **October 26, 2006**

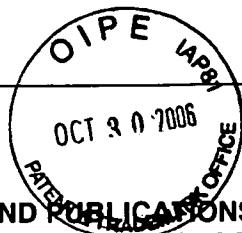

Signature: **Kevin S. Lemack**
Date: **October 26, 2006**

Respectfully submitted,


Kevin S. Lemack
Attorney for Applicants
Registration No. 32,579
Nields & Lemack
176 E. Main Street
Westboro, MA 01581
TEL: (508) 898-1818

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MJ/

FORM PTO-1449		ATTY. DOCKET NO. 442P103	SERIAL NO. 10/591,015
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Kazuyuki Kawabe	
		FILING DATE August 29, 2006	GROUP Not yet assigned


REFERENCE DESIGNATION
U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	BA 0 413 136	2/1991	Europe			X-SR	
	BB 0 668 513	8/1995	Europe			X-SR	
	BC 49-93446	11/1972	Japan				X-SR
	BD 9-292524	11/1997	Japan			*-SR	
	BE 9-292525	11/1997	Japan			*-SR	
	BF 10-193493	7/1998	Japan			*-SR	
	BG 3-7230	2/1991	Japan				X
	BH 2001-100032	4/2001	Japan			*-SR	
	BI 2001-166146	6/2001	Japan			*-SR	
	BJ 2002-331616	11/2002	Japan			*-SR	
	BK 02/055626	7/2002	WO			*-SR	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

CA		Copy of the International Search Report dated 6/14/06
CB		
CC		
EXAMINER /Monique Jackson/		DATE CONSIDERED 09/29/2008
EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

SR=Cited in Search Report

*=English Abstract